PTO/SB/21
0037.0053

TRANSMITTAL FORM	Application Number	Not Yet Assigned
(To be used for all correspondence after initial filing)	Filing Date	October 7, 2003
	Inventor	C.J. Stakutis et al.
	Group Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned
Total Number of Pages in this Submission: 6	Attorney Docket Number	SJO920030050US1

16834 U.S. PTO
10/681557
100703

ENCLOSURES *(check all that apply)*

☐ Fee Transmittal Form ☐ Fee Attached ☐ Amendment/Reply ☐ After Final ☐ Affidavits /Declarations ☐ Extension of Time Request ☐ Express Abandonment Request ☐ Information Disclosure Statement; ___ references ☐ Certified Copy of Priority Document(s) ☐ Response to Missing Parts/Incomplete Application ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53	☐ Assignment Papers (for an application) ■ Formal Drawings: 5 sheets ☐ Licensing-related papers ☐ Petition: _____ ☐ Petition to Convert to a Provisional Application ☐ Power of Attorney, Revocation, and/or Change of Correspondence Address ☐ Terminal Disclaimer ☐ Request for Refund ☐ CD, Number of CD(s) __	☐ After Allowance Communication to Group ☐ Appeal Communication to Board of Appeals and Interferences ☐ Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)* ☐ Proprietary Information ☐ Status Letter ☐ Certificate of Correction ☐ Fee Address Indication Form ☐ Other Enclosure(s) *(please identify below)*

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name:	David W. Victor, Registration No. 39,867
Signature:	
Date:	October 7, 2003

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315 South Beverly Drive, Suite 210
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(310) 556-7983

■ The Commissioner is authorized to charge any deficiency of fees, or credit any overpayment, to Deposit Account No. 09-0466

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO, on the date indicated below.

Typed or Printed name:	David W. Victor
Signature:	
Date:	October 7, 2003

24033
PATENT TRADEMARK OFFICE

FORM PTO-1082

Case Docket No.SJO920030050US1
October 7, 2003
Express Mail Label No. EV326952846US
0037.0053

Mail Stop Patent Application
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Christopher John Stakutis and William Robert Haselton

For: **METHOD, SYSTEM, AND PROGRAM FOR PROCESSING A FILE REQUEST**

Enclosed are:

X 5 Sheet(s) of drawings + 0 extra copies;
23 pages of Application; 12 pages of specification, 1 page of abstract
X An assignment of the invention to International Business Machines Corporation. (___ Will follow.)
X Declaration and Power of Attorney. (___ Will follow.)
X IDS enclosed - 28 references.
X Formal Drawings enclosed; 5 sheets.
X Return Postcard.

CALCULATION OF FEES						
	ITEM	NO. OF CLAIMS FILED MINUS BASE*		NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	$ AMOUNT	$ FEE
A	TOTAL CLAIMS FEE	41	- 20* =	21	X $9 or $18	$378	
B	INDEPENDENT CLAIMS FEE**	6	- 3* =	3	X $42 or $84	$252	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						$630
D	MULTIPLE-DEPENDENT CLAIMS FEE SMALL ENTITY FEE = $140; LARGE ENTITY FEE = $280						$0
E	BASIC FEE* SMALL ENTITY FEE = $370; LARGE ENTITY FEE = $750						$750
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						$1,380
G	ASSIGNMENT RECORDING FEE					$ 40	$40
	**LIST INDEPENDENT CLAIMS 1, 11, 17, 23, 26, 36						

Please charge Dep. Acct. No. 09-0466 in the amount of $1,420 **A copy of this sheet is enclosed.**

___ A check in the amount of $ 1,380 to cover the filing fee is enclosed.
__ Check for $ 40 covering the Recordation of Assignment fee enclosed.
X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0466 . **A copy of this sheet is enclosed.**
  X Any additional filing fees required under 37 CFR 1.16.
  X Any patent application processing fees under 37 CFR 1.17.
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  X Any patent application processing fees under 37 CFR 1.17.
  __ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
  X Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

David W. Victor
Registration No. 39,867

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315 S. Beverly Drive; Suite 210
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(310) 556-7983

Express Mail Label No. EV326952846US
SJO920030050US1
0037.0053

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for
C.J. Stakutis and W.R. Haselton
Serial No.: Not Yet Assigned
Filed: October 7, 2003
For: **METHOD, SYSTEM, AND PROGRAM FOR PROCESSING A FILE REQUEST**

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

CERTIFICATE OF MAILING

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

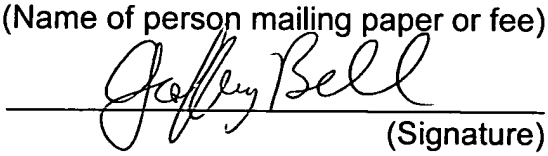
Dear Sir:

"Express Mail" Label No. EV326952846US

I hereby certify that patent application papers, including specification, claims and 5 sheets of drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

October 7, 2003
(Date of Deposit)

Geoffrey Bell
(Name of person mailing paper or fee)



(Signature)